

Beneficiary's Name _____ Country of Citizenship _____

Visa Type Requested _____ Department _____

PROJECT INFORMATION AND AUTHORIZATION

Effective Dec. 22, 2010, immigration regulations require the U.S. employer to attest that export compliance regulations have been properly met as they pertain to an international employee working in H1B or O-1A status. Florida Tech must provide this information in the H1B or O-1A filing.

In order to comply with these immigration regulations, the principal investigator must complete the export compliance license certification below.

CERTIFICATION STATEMENT

Complete as appropriate; sign and submit to the ISSS office with the H1B Packet or O-1 Packet.

- No. Based on completion of the Visa Deemed Export Questionnaire (attached) and consultation with Florida Tech, I believe a deemed export license is not required from either the U.S. Department of Commerce or the U.S. Department of State to release such technology or technical data to the international employee; or
- Yes. Based on completion of the Visa Deemed Export Questionnaire and consultation with Florida Tech, I believe a deemed export license may be required. By signing below, I certify that an export license may be required to release project technology or technical data to the beneficiary and I will prevent access to the controlled technology or technical data by the beneficiary until and unless I have received the required license or other authorization to release it to the beneficiary.

Printed Name of Faculty Sponsor/Department Chair _____

Faculty/Sponsor Signature _____ Date _____

Director of Research Compliance Signature _____ Date _____

Visual Compliance Date _____

All questions on this form must be answered completely and truthfully such that FIT may make legal and regulatory determinations. Civil and criminal penalties may be associated with inaccurate or false statements that lead to violations of federal law.

SECTION 1: BIOGRAPHICAL

Florida Tech Host Name (PI) _____

Florida Tech Visa Sponsor Name (if other than host) _____

Department _____

Department Administrator Point of Contact (other than host or sponsor) _____

Full Name of Foreign Person _____

Date of Birth _____ Country of Birth _____

Last Country of Residence _____ Country of Citizenship _____

Home Address _____

City _____ State _____ ZIP _____

Current Institution(s)/Employer(s) (List all)

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Status/Position/Title at Home Institution or Employer _____

Intended Immigration Status (e.g., J-1 Visiting Scholar, H-1B, F-1, etc.) _____

Has the foreign person previously been affiliated with Florida Tech?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, explain.	

Is the research or activities part of an official academic catalog course?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, list the course number and name.	

Is the foreign person currently employed by, serving in, or on leave from any foreign military?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, specify country and branch of military.	

Is the foreign person currently employed by or on leave from any foreign government agency?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, specify the country and agency.	

Is the foreign person receiving funding from a foreign source for the U.S. visit or for the activity in which they will participate? (e.g., Visitor is paid by a foreign source to work for Florida Tech for free.)	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, specify the source of funding (e.g., institution, organization, scholarship, government scholarship fund, etc.)	

SECTION 2: ACTIVITIES

In detail, specify the assignment, purpose and proposed activity(ies) of the foreign person. This requires a comprehensive explanation.

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Will the foreign person participate in or work on any Florida Tech Sponsored Research activity(ies)?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, provide Florida Tech index number of all projects for which the person can participate or be afforded access.	

Will the results of the sponsored activity be published in totality or taught in an official Florida Tech course or otherwise shared with the interested public?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, provide a reference or example as to where the research or instruction can be found in the public domain.	

Will the sponsored activity consist entirely of basic or applied research the results of which are commonly found entirely in the public domain?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Will the foreign person assist any other Florida Tech co-worker, faculty or staff in addition to the host/sponsor?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, list each person for whom the foreign person provides assistance.	

Will the foreign person: (NOTE: You must answer the questions, below, regardless of whether they apply)	
a. Be provided access to any unpublished, proprietary or confidential information, items, materials, software, prototypes or articles furnished by a sponsor?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Describe your response.	
b. Contribute to any research program sponsored by the Department of Defense, NASA, Department of Energy or other defense industry sponsors, including SBIR or STTR, or U.S. defense industrial base flow-through awards?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Describe your response.	
c. Does the sponsored activity or research have any potential military, space or intelligence application?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Describe your response.	
d. Is the sponsored activity subject to any access or dissemination, or national security restriction?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Describe your response.	
e. Will any of the activities be related to the development of a new or emerging technology?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Describe your response.	
f. If yes to (e) above, will any portion of this work be withheld to protect proprietary or confidential information?	<input type="checkbox"/> Yes <input type="checkbox"/> No
Describe your response.	

SECTION 3: RESEARCH INSTRUMENTATION ACCESS & USE

Will the foreign person have access to or operate any research instruments?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, specify all of the research instruments.	

Will the foreign person be provided instructions on how to develop, produce or use (operate, install, maintain, repair, overhaul and refurbish) the research instruments?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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If a sponsored activity, does the sponsor have any proprietary technology or technical data related to the development, production or use (operate, install, maintain, repair, overhaul and refurbish) of any propriety item, article, device or software? <i>Such technology and technical data is commonly subject to a nondisclosure, confidentiality or material transfer agreement.</i>	<input type="checkbox"/> Yes <input type="checkbox"/> No
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If yes, will the foreign person be allowed access to the sponsored, proprietary or technical data or materials?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, specify the technology or technical data.	

Will the foreign person be exposed or access any Florida Tech proprietary technology or technical data?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, specify the technology or technical data.	

Will the foreign person be conducting any research or experiments in Florida Tech’s labs?	<input type="checkbox"/> Yes <input type="checkbox"/> No
If yes, specify the lab.	
Is it a shared lab with other Florida Tech researchers?	<input type="checkbox"/> Yes <input type="checkbox"/> No

SECTION 4: OTHER ASSURANCES

Does the Florida Tech host/sponsor currently have any programs subject to export controls, technology control plans or U.S. government security classification?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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Will the foreign person be contributing in any way to any programs subject to a technology control plan or other U.S. government restriction?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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NASA restrictions on funding activities with China or any Chinese-owned company. Grants information circular (GIC12-01) restricts researchers accepting NASA funding from allowing any collaborator or visiting scholar to participate in NASA-funded research activities when the scholar is affiliated in any way with the government of China or Chinese-owned company. This restriction applies to students, student interns, visiting scholars or professors (even on a volunteer basis) who retain an affiliation with a Chinese institution of higher learning or government of China, or receive any international funding from the China state while they are in the U.S. or abroad.	
a. Is the foreign person: affiliated with the governments of China as a student, intern, visiting scholar, employee (faculty, staff, lecturer, researcher, etc.) even on a volunteer basis or in the U.S. on sabbatical? This includes professors with joint appointment, Chinese company representatives or Chinese government entity, and all others that receive scholarships or other types of funding from the Chinese government.	<input type="checkbox"/> Yes <input type="checkbox"/> No
b. Is the foreign person a non-Chinese researcher performing research for China, such as other U.S. researchers acting on behalf of a Chinese university or corporation?	<input type="checkbox"/> Yes <input type="checkbox"/> No
c. Will the proposed visiting person participate in any NASA-funded activity?	<input type="checkbox"/> Yes <input type="checkbox"/> No

TO THE BEST OF MY KNOWLEDGE, I CERTIFY THAT THE INFORMATION PROVIDED HEREIN IS ACCURATE AND TRUTHFUL.

Name of person preparing the form _____

Signature of person preparing the form _____

Date _____